Our Ref./Docket No: LAKE012

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Dickins, *et al.*

Application No.: 09/508713

Filed: July 7, 2000

Title: UTILIZATION OF FILTERING
EFFECTS IN STEREO HEADPHONE
DEVICES

Group Art Unit: 2644

Examiner: Ping Lee

TRANSMITTAL: RESPONSE TO OFFICE ACTIONMail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Commissioner:

Transmitted herewith is a response to an office action for the above referenced application.
Included with the response are:

_____ drawing(s);

This application has:

_____ a small entity status. If a claim for such status has not earlier been made, consider
this as a claim for small entity status.

_____ No additional fee is required.

07/06/2004 AWONDAF1 00000024 09508713

01 FC:1251 110.00 OP
Adjustment date: 11/02/2004 SDIRETA1
07/06/2004 AWONDAF1 00000024 09508713
01 FC:1251 -110.00 OPRefund Ref:
11/02/2004 0030018365

Credit Card Refund Total: \$110.00

Certificate of Facsimile Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark
Office at 703-872-9306 addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

VISA..... XXXXXX8764

Date: July 6, 2004Signed: [Signature]
Name: Amy Drury

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Our Ref./Docket No: LAKE012

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Dickins, *et al.*

Application No.: 09/508,713

Filed: March 15, 2000

Title: UTILIZATION OF FILTERING
EFFECTS IN STEREO HEADPHONE
DEVICES

Group Art Unit: 2644

Examiner: Ping Lee

REQUEST FOR REFUND UNDER 37 CFR 1.26Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Commissioner:

Applicants believe that they have been mistakenly overcharged on the extension of time fees for the Response to Office Action, for the above referenced patent application. In particular, a \$110.00 fee was charged to Credit Card No. [REDACTED] exp. [REDACTED] on July 8, 2004 and then a \$950 fee was charged to Deposit Account No. 50-0292 on September 20, 2004. This comes to a total of \$1,060.

The Office Action for the above-referenced patent application was mailed on March 15, 2004 with a reply due in 1 month on April 15, 2004. Applicants filed the Response to Office Action on July 6, 2004, which was a little less than 3 months after the due date. Therefore Applicants should have only been charged a total of \$950 for the 3 month extension fee.

Applicants therefore believe that a \$110 refund is due.

Applicants have included a copy of the credit card statement and the deposit account statement showing the two charges.

Certificate of Facsimile Transmission under 37 CFR 1.8

I hereby certify that this response is being facsimile transmitted to the United States Patent and Trademark Office at telephone number 703-308-5077 addressed the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on.

Date: 04.1.2004Signed: [Signature]

Name: Amy Drury

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S/N 09/508,713

Page 2

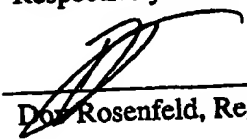
LAKE012

The undersigned respectfully requests a refund of \$110 for the overcharge on the extension of time fee.

X The Commissioner is hereby requested and authorized to credit any overpayment to Deposit Account No. 50-0292
(A DUPLICATE OF THIS TRANSMITTAL IS ATTACHED):

Respectfully Submitted,

04.1.2004
Date


Dov Rosenfeld, Reg. No. 38687

Address for correspondence:

Dov Rosenfeld
5507 College Avenue, Suite 2
Oakland, CA 94618

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Our Ref./Docket No: LAKE012

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Dickins, *et al.*

Application No.: 09/508,713

Filed: March 15, 2000

Title: UTILIZATION OF FILTERING
EFFECTS IN STEREO HEADPHONE
DEVICES

Group Art Unit: 2644

Examiner: Ping Lee

REQUEST FOR REFUND UNDER 37 CFR 1.26Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Commissioner:

Applicants believe that they have been mistakenly overcharged on the extension of time fees for the Response to Office Action, for the above referenced patent application. In particular, a \$110.00 fee was charged to Credit Card No. [REDACTED] exp. 04/07 on July 8, 2004 and then a \$950 fee was charged to Deposit Account No. 50-0292 on September 20, 2004. This comes to a total of \$1,060.

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I hereby certify that this response is being facsimile transmitted to the United States Patent and Trademark Office at telephone number 703-308-5077 addressed the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on.

Date: Oct. 1, 2004Signed: [Signature]

Name: Amy Drury

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S/N 09/508,713

Page 2

LAKE012

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(A DUPLICATE OF THIS TRANSMITTAL IS ATTACHED):

Respectfully Submitted,

Oct. 1, 2004
Date

[Signature]
Dov Rosenfeld, Reg. No. 38687

Address for correspondence:

Dov Rosenfeld
5507 College Avenue, Suite 2
Oakland, CA 94618

10/01/2004 10:56 FAX 15102912985

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007/008

005

DEPOSIT ACCOUNTS

http://10.96.147.858/RamReports/cgi-bin/CreditCardTransReport.cgi?ReportId=018

08/02/04 MON 11:21 FAX 7033086778

Credit Card Transactions

U.S. PATENT AND TRADEMARK OFFICE REVENUE ACCOUNTING AND MANAGEMENT SYSTEM

CREDIT CARD TRANSACTIONS

Card Number:
...8764Start Accounting Date:
07/01/2004End Accounting Date:
07/31/2004Date/Time of Report: Mon
Aug 2 12:18:32.2004

TEST AVAILABLE COPY

Credit Card Sales

Accounting Date	Create Date	Amount	Fee Code	Name/Number	Attorney Docket Number
07/01/2004	07/01/2004 10:47:33 AM	\$58.00	2814	10161803	LUID007CIP 1038
07/02/2004	07/02/2004 07:10:57 AM	\$40.00	8021	10473800	LAKE036 6037
07/08/2004	07/08/2004 12:07:57 PM	\$40.00	8021	10712428	EUR-101 don't charge LAKEUI
* 07/08/2004	07/07/2004 04:41:32 PM	\$110.00	1251	09508713	1056 084527-00000 LAKEUI
07/13/2004	07/13/2004 12:41:08 PM	\$1,080.00	1811	10500902	LAKE038
07/13/2004	07/13/2004 12:41:08 PM	\$342.00	1815	10500902	LAKE038
07/13/2004	07/13/2004 12:41:08 PM	\$515.00	1814	10500902	LAKE038
07/15/2004	07/15/2004 07:27:11 AM	\$300.00	1801	PCT/US04/21913	CISCO-7203PC
07/15/2004	07/15/2004 07:27:11 AM	\$300.00	1803	PCT/US04/21913	CISCO-7203PC
07/15/2004	07/15/2004 07:27:11 AM	\$300.00	1702	PCT/US04/21913	CISCO-7203PC
07/15/2004	07/15/2004 07:27:11 AM	\$1,134.00	1703	PCT/US04/21913	CISCO-7203PC
07/15/2004	07/15/2004 07:27:11 AM	\$180.00	1703	PCT/US04/21913	CISCO-7203PC
07/15/2004	07/15/2004 07:27:11 AM	\$20.00	8007	PCT/US04/21913	CISCO-7203PC
07/15/2004	07/15/2004 07:27:11 AM	\$20.00	2501	10435859	LUID040CON1
07/15/2004	07/15/2004 03:02:02 PM	\$665.00	1504	10435859	LUID040CON1
07/15/2004	07/15/2004 03:02:02 PM	\$300.00	1504	10435859	LUID040CON1
07/15/2004	07/15/2004 03:02:02 PM	\$30.00	8001	10435859	LUID040CON1

Deposit Account Replenishments

Accounting Date	Create Date	Amount	Name/Number	DA Number
-----------------	-------------	--------	-------------	-----------

No DA replenishments could be found.

Suspended Payments

Accounting Date	Sequence Num	Amount	Customer Name	Reference Text	Comments
-----------------	--------------	--------	---------------	----------------	----------

No suspended payments could be found.

Refunded Payments

Accounting Date	Refund Num	Amount	Name/Number	Customer Name
-----------------	------------	--------	-------------	---------------

No refunds could be found.

Deposit Account Statement

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United States
Patent and
Trademark OfficeReturn To:
USPTO
Home
Page
Finance
Online
Shopping
PageDeposit Account Statement

Requested Statement Month:

September 2004

Deposit Account Number:

500292

Name:

INVENTEK

Attention:

DOV ROSENFELD

Address:

6507 COLLEGE AVENUE, SUITE 2

City:

OAKLAND

State:

CA

Zip:

94618

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
09/20	4	09508713	084527-00000	1253	\$950.00	\$3,339.00

START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE
\$4,289.00	\$950.00	\$.00	\$3,339.00

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Dov Rosenfeld
5507 College Avenue, Suite 2
Oakland, CA 94618, USA
Phone: (510)547-3378; Fax: (510)291-2985
dov@inventek.com

Fax**BEST AVAILABLE COPY****Patent Application Ser. No.:** 09/508,713**Ref./Docket No:** LAKE012**Applicant(s):** Dickins, et al.**Examiner.:** Ping Lee**Filing Date:** March 15, 2000**Art Unit:** 2644**FAX COVER PAGE**

TO: Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

United States Patent and Trademark Office
Office of Finance-Refund Branch

Fax No.: 703-308-5077

DATE: October 1, 2004

FROM: Dov Rosenfeld, Reg. No. 38687

RE: Request for Refund

Number of pages including cover: 8.

OFFICIAL COMMUNICATION**Certificate of Facsimile Transmission under 37 CFR 1.8**

I hereby certify that this response is being facsimile transmitted to the United States Patent and Trademark Office at telephone number 703-308-5077 addressed the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on.

Date:Oct. 1, 2004**Signed:****Name:** Amy Drury

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

09/508,713

Filing Date

15 Mar 2000

First Named Inventor

Dickins, Glenn N.

Group Art Unit

2644

Examiner Name

Ping Lee

Attorney Docket Number

LAKE012

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Preliminary Amendment
- ☐ ☐ After Final
- ☐ ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

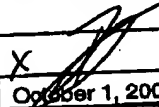
- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ To Convert a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☒ Request of Refund

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Additional Enclosure(s) (please identify below):
- ☐
- ☐
- ☐

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT/ CORRESPONDENCE ADDRESS

Firm or Individual name: Dov Rosenfeld, Reg. No. 38687

Signature: 

Date: October 1, 2004

ADDRESS FOR CORRESPONDENCE

Firm or Individual name: Dov Rosenfeld
5507 College Avenue, Suite 2,
Oakland, CA 94618, Tel: 510-547-3378

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted with the United States Patent and Trademark Office at Telephone number 703-872-9306 addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: October 1, 2004

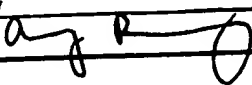
Type or printed name

Amy Drury

Date

October 1, 2004

Signature



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